

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐

Declaration
Submitted
With Initial
Filing

OR

☒

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

1406/175

First Named Inventor

Mark Hollatz

COMPLETE IF KNOWN

Application Number

10/692,234

Filing Date

October 23, 2003

Art Unit

1765

Examiner Name

Not Assigned

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR THE PLANARIZATION OF A SEMICONDUCTOR STRUCTURE

(Title of the Invention)

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

10/23/2003

as United States Application Number or PCT International

Application Number

10/692,234

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

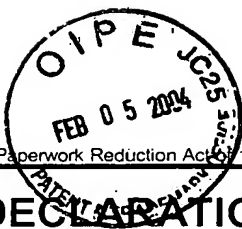
| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|--|------------|-------------------------------------|--------------------------|--------------------------|-------------------------------------|
| | | | | Yes | No |
| PCT/EP02/05253 10123509.7 | WIPO DE | 05/13/2002 05/15/2001 | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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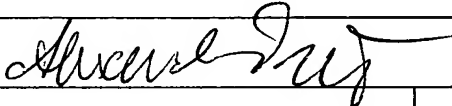


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DECLARATION — Utility or Design Patent Application

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| Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number: <u>25297</u> OR <input type="checkbox"/> Correspondence address below | | | |
| Name | | | |
| Address | | | |
| City | | State | ZIP |
| Country | Telephone | Fax | |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | |
| NAME OF SOLE OR FIRST INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) Mark | | Family Name or Surname Hollatz | |
| Inventor's Signature <i>Mark Hollatz</i> | | Date 17.11.2003 | |
| Residence: City Neustadt | State | Country DE | Citizenship DE |
| Mailing Address Rosa-Luxemburg-Strasse 22 | | | |
| City Neustadt | State | ZIP 01844 | Country DE |
| NAME OF SECOND INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) Klaus-Dieter | | Family Name or Surname Morhard | |
| Inventor's Signature | | Date | |
| Residence: City Dresden | State | Country DE | Citizenship DE |
| Mailing Address Bischoffswerdaer-Strasse 7 | | | |
| City Dresden | State | ZIP 01109 | Country DE |
| <input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>1</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto. | | | |

DECLARATION**ADDITIONAL INVENTOR(S)**
Supplemental SheetPage 1 of 1


| | | | |
|--|-------|---|----------------|
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Alexander | | Trüby | |
| Inventor's Signature  | | Date <u>17.11.03</u> | |
| Residence: City Dresden | State | Country DE | Citizenship DE |
| Mailing Address Selliner Strasse 17 | | | |
| Mailing Address | | | |
| City Dresden | State | Zip 01109 | Country DE |
| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Dirk | | Többen | |
| Inventor's Signature | | | |
| Residence: City Munchen | State | Country DE | Citizenship DE |
| Mailing Address Kranichweg 30b | | | |
| Mailing Address | | | |
| City Munchen | State | Zip 81827 | Country DE |
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| Given Name (first and middle (if any)) | | Family Name or Surname | |
| | | | |
| Inventor's Signature | | Date | |
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| Mailing Address | | | |
| Mailing Address | | | |
| City | State | Zip | Country |

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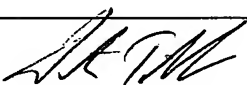

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| Inventor's Signature | | | Date |
| Residence: City Neustadt | State | Country DE | Citizenship DE |
| Mailing Address Rosa-Luxemburg-Strasse 22 | | | |
| City Neustadt | State | ZIP 01844 | Country DE |
| NAME OF SECOND INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle [if any]) Klaus-Dieter | | Family Name or Surname Morhard | |
| Inventor's Signature  | | | Date 20 Nov 03 |
| Residence: City Dresden | State | Country DE | Citizenship DE |
| Mailing Address Bischoffswerdaer-Strasse 7 | | | |
| City Dresden | State | ZIP 01109 | Country DE |
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| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Alexander | | Trüby | |
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| Mailing Address | | | |
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| Name of Additional Joint Inventor, if any: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name (first and middle (if any)) | | Family Name or Surname | |
| Dirk | | Többen | |
| Inventor's Signature  | | Date Nov. 19, 2003  | |
| Residence: City Munchen | State | Country DE | Citizenship DE |
| Mailing Address Kranichweg 30b | | | |
| Mailing Address | | | |
| City Munchen | State | Zip 81827 | Country DE |
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